

**SECTION J – ATTACHMENT J-A
LISTS A, B AND C**

LIST A - LIST OF APPLICABLE FEDERAL LAWS & REGULATIONS

LIST B - LIST OF APPLICABLE DOE DIRECTIVES

LIST C - LIST OF APPLICABLE STATE LAWS AND REGULATIONS

LIST OF APPLICABLE FEDERAL LAWS & REGULATIONS - LIST A

The federal laws and regulations listed in the table below contain requirements normally relevant to the Contractor scope of work. These laws and regulations, and others, apply regardless whether they are explicitly stated in the Contract. In addition, laws and regulations typically apply to all persons or organizations such as subcontractors, suppliers, and federal employees.

This list does not have to be provided in the Contract, but it may be appended to the Contract for information purposes. Omission of any applicable law or regulation from List A does not affect the obligation of the Contractor to comply with such law or regulation pursuant to DEAR clause 970.5204-2, Laws, Regulations, and DOE Directives. The Contractor must be aware of changes in the Code of Federal Regulations (CFR), Federal Acquisition Regulations (FAR), the United States Code (USC), Public Laws (PL) or other regulatory entities that have applicability to the Department of Energy and that impact the work scope.

The Contractor will notify DOE and a determination will be made regarding modification to the contract. The following table does not contain any specific state laws, regulations, permits, and licenses, etc.

Regulation	Regulation Title
10 CFR Part 71	<u>Packaging and Transportation of Radioactive Materials</u>
10 CFR Part 719	<u>Contractor Legal Management Requirements</u>
10 CFR Part 707	<u>Workplace Substance Abuse</u>
10 CFR Part 820	<u>Procedural Rules for DOE Nuclear Facilities</u>
10 CFR Part 824	<u>Procedural Rules for the Assessment of Civil Penalties for Classified Information Security Violations</u>
10 CFR Part 830	<u>Nuclear Safety Management</u>
10 CFR Part 835	<u>Occupational Radiation Protection</u>
10 CFR Part 850	<u>Chronic Beryllium Disease Prevention Program</u>
10 CFR Part 851	<u>Worker Safety and Health Program</u>
10 CFR Part 1021	<u>National Environmental Policy Act Implementing Procedures</u>
29 CFR 1910	<u>Occupational Safety and Health Standards</u>
29 CFR Part 1926	<u>Safety and Health Regulations for Construction</u>
36 CFR Chapter 12, Subchapter B	<u>Records Management</u>
40 CFR Part 61	<u>National Emission Standards for Hazardous Air Pollutants (NESHAPS)</u>
40 CFR Part 302	<u>Designation, Reportable Quantities, and Notification</u>
40 CFR Part 355	<u>Emergency Planning and Notification</u>
40 CFR Part 372	<u>Toxic Chemical Release Reporting: Community Right-To-Know</u>
40 CFR Part 763	<u>Asbestos</u>
41 CFR 109-40	<u>Department of Energy Property Management Regulations- Transportation and Traffic Management</u>
41 CFR Part 101	<u>Federal Property Management Regulations</u>
41 CFR Part 102-36	<u>Disposition of Excess Personal Property</u>
48 CFR 47.102	<u>Federal Acquisition Regulations, Transportation, Transportation Insurance</u>
48 CFR Part 970.5203-2	<u>Performance Improvement and Collaboration</u>
48 CFR Part 970.5204-2	<u>Laws, Regulations, and DOE Directives</u>
48 CFR Part 970.5215-3	<u>Conditional Payment of Fee, Profit, or other Incentives – Facility Management Contracts (or alternatively, 48 CFR Part 952.223-76 or 952.223-77, Conditional Payment of Fee or Profit)</u>
49 CFR Part 107	<u>Hazardous Materials Program Procedures</u>
49 CFR Part 171	<u>General Information, Regulations, and Definitions</u>
49 CFR Part 172	<u>Hazardous Materials Table, Special Provisions, Hazardous materials Communications, Emergency Response Information, Training Requirements, and Security Plans</u>
49 CFR Part 173	<u>Shippers – General Requirements for Shipments and Packaging's</u>
49 CFR Part 174	<u>Carriage by Rail</u>
49 CFR Part 177	<u>Carriage by Public Highway</u>
49 CFR Part 178	<u>Specifications For Packaging</u>
49 CFR 180	<u>Continuing qualification and maintenance of packaging</u>
5 U.S.C. 552 et seq.	<u>Freedom of Information Act (FOIA)</u>
16 U.S.C. 470 et seq	National Historic Preservation Act
16 U.S.C 1531	Endangered Species Act
42 U.S.C. 2297h-8(a)	<u>Employee Protections</u>
42 U.S.C 4321	<u>National Environmental Policy Act (NEPA)</u>
42 U.S.C. 6901	<u>Resource Conservation and Recovery Act (RCRA)</u>
42 U.S.C. 7384	<u>Energy Employees Occupational Illness Compensation Program Act (EEOICPA), Public Law 106-398</u>
44 U.S.C. Chapter 21	<u>National Archives and Records Administration</u>
44 U.S.C. Chapter 29	<u>Records Management NARA/GSA Administration/ General Services</u>

	Administration
44 U.S.C. Chapter 31	Records Management by Federal Agencies
44 U.S.C. Chapter 33	Disposal of Records
44 U.S.C. Chapter 35	Coordination of Federal Information Policy
44 U.S.C. Chapter 36	Management and Promotion of Electronic Government Services
Executive Order 13221	Energy Efficient Standby Power Devices
Executive Order 13423	Strengthening Federal Environmental, Energy, Transportation Management. (Implementing Instructions)

LIST OF APPLICABLE DOE DIRECTIVES - LIST B

The Contractor Requirements Documents of the DOE Directives listed below are applicable, in whole or in part, in accordance with Section I Clause entitled “DEAR 970.5204-2 LAWS, REGULATIONS AND DOE DIRECTIVES (DEC 2000)”. DOE directives may be found at <http://www.directives.doe.gov/>.

DOE Directive (Orders, Policies, Manuals, Guidance, and Standards)	Title/Subject Matter
DOE O 142.3A	Unclassified Foreign Visits and Assignments Programs
DOE O 150.1	Continuity Programs
DOE O 151.1C	Comprehensive Emergency Management System
DOE O 200.1A	Information Technology Management
DOE O 203.1	Limited Personal Use of Government Office Equipment Including Information Technology
DOE O 205.1B change 2	Department of Energy Cyber Security Program
DOE O 206.1	DOE Privacy Program
DOE O 206.2	Identity, Credential, and Access Management (ICAM)
DOE O 210.2A	DOE Corporate Operating Experience Program
DOE O 221.1A	Reporting Fraud, Waste, and Abuse to the Office of Inspector General
DOE O 221.2A	Cooperation with the Office of Inspector General
DOE O 225.1B	Accident investigations
DOE O 226.1B	Implementation of DOE Oversight Policy
DOE O 227.1	Independent Oversight Program
DOE O 231.1B Change 1	Environment, Safety, and Health Reporting
DOE O 232.2	Occurrence Reporting and Processing of Operations Information
DOE O 241.1B	Scientific and Technical Information Management
DOE O 243.1B Change 1	Records Management Program
DOE O 251.1C	Departmental Directives Program
DOE O 252.1A Change 1	Technical Standards Program
DOE O 311.B	Equal Employment Opportunity and Diversity Program
DOE O 350.1 Change 4	Contractor Human Resource Management Programs
DOE O 413.1B	Internal Control Program
DOE O 413.3B	Program and Project management for the Acquisition of Capital Projects
DOE O 414.1D Change 1	Quality Assurance
DOE O 420.1C	Facility Safety
DOE O 422.1 Change 1	Conduct of Operations
DOE 425.1D Change 1	Verification of Readiness to Start Up or Restart Nuclear Facilities
DOE O 430.1B Change 2	Real Property Asset Management
DOE O 433.1B Change 1	Maintenance Management program for DOE Nuclear Facilities
DOE O 435.1 Change 1	Radioactive Waste Management
DOE O 436.1	Departmental Sustainability
DOE O 442.1A	Department of Energy Employee Concerns Program
DOE O 442.2	Differing Professional Opinions for Technical Issues Involving Environment, Safety and Health
DOE O 450.2	Integrated Safety System Management
DOE P 450.4A	Integrated Safety Management Policy
DOE O 451.1B Change 3	National Environmental Policy Act Compliance Program

DOE Directive (Orders, Policies, Manuals, Guidance, and Standards)	Title/Subject Matter
DOE O 458.1 Change 3	Radiation Protection of the Public and the Environment
DOE O 460.1C	Packaging and Transportation Safety
DOE O 460.2A	Departmental Materials Transportation and Packaging Management
DOE O 461.1B	Packaging and Transportation for off-site Shipment of Materials of National Security Interest
DOE P 470.1A	Safeguards and Security Program
DOE O 470.4B – Change 1	Safeguards and Security Program
DOE O 471.1B	Identification and Protection of Unclassified Controlled Nuclear Information
DOE O 471.3 Change 1	Identifying and Protecting Official Use Only Information
DOE O 471.6, Admin Chg. 1	Information Security
DOE O 522.1	Pricing of Departmental Materials and Services
DOE O 523.1	Financial Management Oversight
DOE O 534.1B	Accounting
DOE O 580.1A Change 1	Department of Energy Personal Property Management Program
DOE Personal Property Letter 970-3	High-Risk Personal Property
DOE-STD-1027-92, Change 1	Hazard Categorization and Accident Analysis Techniques
DOE-STD-1030-96	Guide to Good Practices for Lockouts and Tagouts
DOE-STD-1090-2011	Hoisting and Rigging (Formerly Hoisting and Rigging Manual)
DOE-STD-1098-2008 Change 1	Radiological Control
DOE-STD-1104-2009	Review and Approval of Nuclear Safety Basis and Safety Design Basis Documents
DOE-STD-1107-97 Change 1	Knowledge, Skills, and Abilities for Key Radiation Protection Positions at DOE Facilities
DOE-STD-1120-2005	Integration of Environmental, Safety, and Health into Facility Disposition Activities
DOE-STD-1130-2008 (Reaffirmed 2013)	Radiological Worker Training
DOE-STD-1190-2007	Illness and Injury Surveillance Program Guidelines
DOE-STD-3006-2010	Planning and Conducting Readiness Reviews
DOE-STD-3009-94, Change 3	Preparation Guide for the U.S. Department of Energy Nonreactor Nuclear Safety Analysis
DOE M 435.1-1, Change 2	Radioactive Waste Management Manual
DOE M 460.2-1A	Radioactive Material Transportation Practices Manual
DOE/EH-0535	Handbook for Occupational Safety and Health During Hazardous Waste Activities
DOE/EH-0196	Fire Prevention Measures for Cutting, Welding, and Related Activities

LIST OF APPLICABLE STATE LAWS AND REGULATIONS- LIST C

The state laws and regulations listed in the table below contain requirements relevant to the Contractor scope of work. These laws and regulations, and others, apply regardless whether they are explicitly stated in the Contract. In addition, laws and regulations typically apply to all persons or organizations such as subcontractors, suppliers, and federal employees.

State Law or Regulation	Title/Subject Matter
The State of California Executive Order D-62-02	All demolition waste, if disposed of to a California landfill, consistent with, and in compliance with this order.
Title 22 of the California Code of Regulations, Division 4.5, Environmental Health Standards for the Management of Hazardous Waste	Management of demolition materials, Characterization of Waste
Ventura County Ordinance 4357	Recycling of construction/demolition debris
Chapter 6.5 of Division 20 of the California Health and Safety Code	Waste Characterization, Hazardous Waste